

# Was Asian Rice (*Oryza sativa*) Domesticated More Than Once?

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Received: 1 May 2008 / Accepted: 18 June 2008 / Published online: 29 August 2008  
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**Abstract** Recently, a dual or multiple origin of domesticated rice has been the prevailing opinion among rice scientists because rice is clearly differentiated into two major varietal groups, indica and japonica, and several minor groups. Molecular clock studies that suggested that divergence in the A-genome wild rice gene pool occurred prior to domestication gave further weight to the opinion that rice had a dual origin. However, recent analysis of the major gene that is responsible for the difference in degree of shattering between rice and wild rice has revealed that it is the same mutation in indica and japonica rice, which is not compatible with a dual origin of domesticated rice. Here, we discuss the geographic and genetic reasons why a single origin for domesticated rice is compatible with current data regarding the evolution of rice. The apparently conflicting data regarding the origin of rice can be resolved by the role hybridisation–introgression has played during rice evolution since domestication.

**Keywords** Origin · Evolution · Gene flow · Introgression · Hybridisation

## Introduction

We have recently argued, contrary to the prevailing current opinion, that rice was only domesticated once [76]. This was based primarily on the following facts that: (1) the two main rice varietal groups, indica and japonica, have the same major allele for non-shattering [37]; (2) the absence of archaeobotanical evidence for two areas of domestication [16]; (3) the lack of evidence to support wild rice with indica- and japonica-like characteristics occurring in different geographic regions [61]; and (4) evidence that indica and japonica domesticated rice have the same time span since domestication [8].

The hypothesis that we proposed differs from views expressed in most recent papers on the subject of rice domestication (e.g. [29, 32, 38, 69]) in two principal ways. First, we consider there is a lack of evidence that rice was domesticated in two different geographic regions, South Asia and East Asia. Secondly, we consider it unlikely that rice was *domesticated* twice prior to the spread of the principal allele for non-shattering in rice.

Thirty years ago, a single origin of rice was the commonly held opinion [10, 49]. However, molecular studies have shown that there are deep genetic divisions in cultivated rice [12, 19] and that some of these divisions appear to extend back in time before rice was domesticated [41, 56, 79, 88]. As a result, the view has changed, and currently, a diphyletic or multiphyletic origin of domesticated rice in Asia is the prevalent opinion among rice scientists.

In this paper, we look at the geographic and genetic evidence that supports a single origin of domesticated rice and discuss the evolutionary process of hybridisation–introgression that may reconcile the apparently conflicting data related to the origin of domesticated rice in Asia.

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## Cultivation versus domestication

Cultivation is a human activity, while domestication is a process that leads to genetic changes [18]. Plants cultivated by man may result in them changing genetically in response to the environment created by man, a part of the domestication process. However, if the genetic changes associated with cultivation do not result in the plants becoming dependent on man for survival, the plants are not domesticated. Some plants long harvested and cultivated by humans have failed or been difficult to domesticate; for example, American wild rice (*Zizania aquatica*), which is not an aggressive colonizer of disturbed habitats [28].

During the domestication process, a point is reached when sufficient genetic change has occurred for plants to become dependent on humans for survival at which point they are domesticated. For cereals, most botanists consider non-shattering to be the key change associated with domestication (e.g. [87]). Once a crop is domesticated, the process of domestication continues, and the various secondary traits associated with the domestication syndrome continue to accumulate.

## Geography of rice domestication

‘The history of the earth is recorded in the layers of its crust; the history of all organisms is inscribed in the chromosomes’ Kihara, 1946 (quoted by [13]). Unlike the history written on the Earth’s crust, chromosomes cannot tell us *where* the history of organisms occurred.

Vavilov [74] believed that centers of diversity of crops were a reliable indicator of where they originated. Thus, for a long period, it was believed that rice originated where it has traditionally been most diverse [10]. However, diversity in landraces often involves introgression from wild relatives (e.g. [6, 9]). This can lead to false conclusions regarding varietal diversity and the origin of crops [5]. The earliest archaeological sites that have domesticated rice remains are well outside the region where highest traditional varietal diversity was found in the last century, Yunnan province, China and surrounding areas.

### Indica–japonica trend in wild rice

Genome-wide evidence for the early divergence of the Asian wild rice gene pool comes from studies of insertion and divergence of long terminal repeat (LTR) retrotransposons [41, 79]. Based on molecular clock data, this divergence occurred long before domestication of rice and corresponds to germplasm that today is indica and japonica. The full meaning of LTR retrotransposons in terms of what is understood as indica and japonica differentiation is

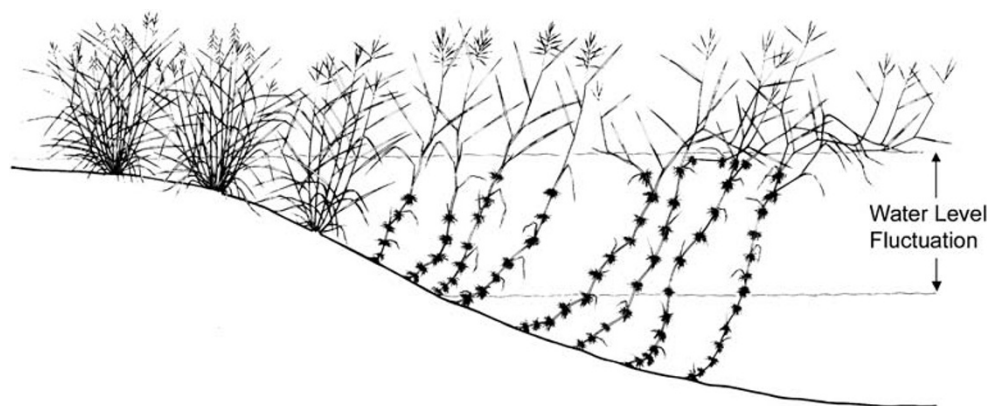
unclear. It is perhaps noteworthy that these papers used very limited germplasm to represent indica and japonica gene pools. For example, of the 32 japonica accessions analyzed by [79], all came from Korea and Japan, and only one indica accession came from China. However, putting the validity of using DNA fragments for indica–japonica differentiation aside, does this pre-domestication divergence of the A-genome wild rice gene pool equate to geographic differentiation as has been suggested [79]? Various studies using different techniques show clearly that wild rice germplasm with indica- and japonica-like profiles are found in the same region [47, 62, 67, 83]. Xu et al. [83] analysed *p-SINE1* variation in wild and cultivated rice and showed that among perennial wild rice that tend to be japonica-like were two accessions, one from China and the other from Indonesia. They also showed that two perennial wild rice accessions that were more indica-like came from China and India [83]. An extensive isozyme analysis of wild and cultivated rice revealed that Chinese wild rice was of two groups [62]. One group of wild rice accessions, from Kwangsi, had similar isozyme alleles to those found in japonica rice. The other group of wild accessions from Guangdong province were intermediate between indica and japonica rice [62]. Wild germplasm from South and Southeast Asia in that study were only of the intermediate type.

Various studies have shown the tendency for indica-like characteristics to be associated with annual type wild rice and japonica-like characteristics to be associated with perennial type wild rice (e.g. [47, 83, 84]). Annual and perennial wild rice in various parts of Asia can be found in the same area [33] and sometimes at the same site as part of a contiguous population ([33]; first author’s personal observations in Cambodia, India and Myanmar). The type of gradation in wild rice populations that can be found at some sites is illustrated (Fig. 1).

### Indica–japonica trend in cultivated rice

The geographic basis for the names of the two main subgroups of rice varieties, indica and japonica, suggests correctly that this is therefore where these varieties are grown. This reflects the general trend that indica rice varieties are grown in tropical and subtropical regions of low latitude and low altitude with warmer climatic conditions and japonica in high altitudes or temperate regions of high latitudes with cooler climatic conditions. However, the names indica and japonica were not designated because of where these varietal groups originated, rather as a result of studies of hybrid fertility relationships [30]. Indica and japonica cultivated rice can be found sympatric in many areas. Indica varieties are grown in Japan for cattle forage. In mountainous regions such as

**Fig. 1** Continuum of plant types that can be found in some parts of South and Southeast Asia (modified from [75]).



Bhutan, Nepal and Yunnan Province, China, indica varieties are grown at lower elevations and japonica at higher elevations. Sometimes, japonica and indica varieties are found in the same field [45] or same village [78] (Table 1). The occurrence of indica and japonica rice in the same or different places is largely due to human-mediated movement of rice varieties. This is clearly illustrated with the introduction of tropical japonica varieties during the Indonesian expansion to Madagascar and subsequent introduction of indica varieties from South Asia [77]. A consequence of these waves of introductions of rice into Madagascar was inter-subspecific (indica/japonica) hybridisation that has resulted in a varietal group specific to Madagascar [1].

**Table 1** Rice Varietal Diversity Collected in Two Villages in Northwest Vietnam in October 1989

Variety name	Culture type	Variety group	Amylose %
Ethnic Group: Thai			
Village: Muong Pon, altitude 450 m			
Tu Vong	Upland	Japonica	2
Khao Pit	Upland	Japonica	2
Khao Lech	Upland	Japonica	3
Khao lep Trong	Upland	Japonica	2
Khao Coi Noi	Upland	Japonica	2
Pu Loah	Upland	Indica	2
Khao Coi Loi	Upland	Japonica	3
Khao Pen Tien	Upland	Japonica	4
Khao Tu Rong	Rainfed	Japonica	2
Khao Ten Lai	Rainfed	Japonica	1
Ethnic group: Humong			
Village: Sothan, altitude 1,175 m			
Blau Ta	Upland	Japonica	21
Blau La	Rainfed	Indica	26
Ble Blau	Rainfed	Indica	3
Blau Tan	Upland	Japonica	3
Blau Blau	Rainfed	Japonica	1
Taleno	Rainfed	Indica	17
Blau Bla	Rainfed	Nc	1

The two villages were 130 km apart.

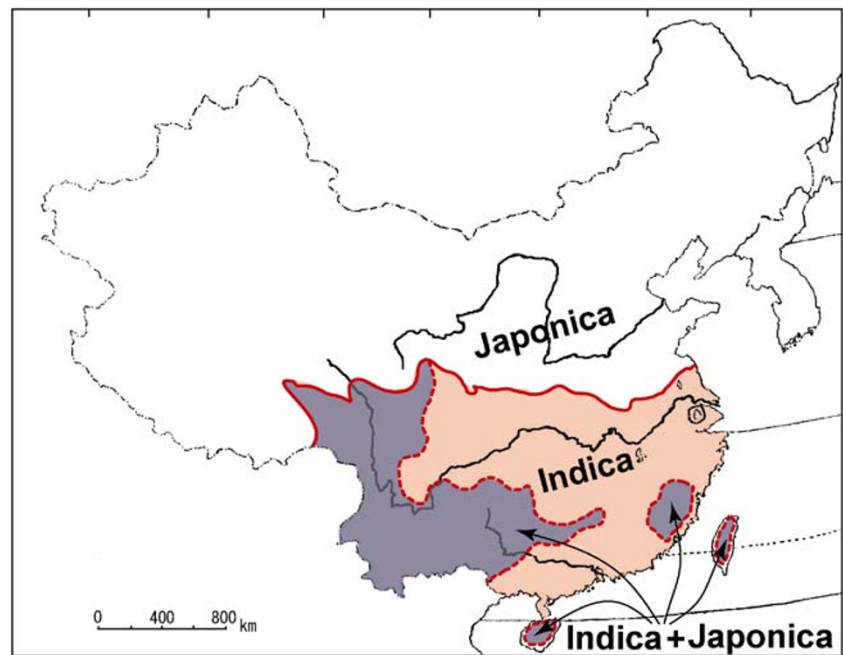
The areas where traditional varieties of indica and japonica rice grew in China in the early part of the last century are shown (Fig. 2). The recent principal area of distribution of indica and japonica varieties in China is significant because this is the main area in the lowlands where indica and japonica rice shows a transition. Is it coincidental that this is close to where the first evidence of rice domestication has been reported?

Archaeobotanical evidence for different areas of rice domestication

There is clear archaeobotanical evidence that rice was domesticated by between 5000 and 4000 B.C. in the Yangtze River basin [17, 18, 85]. There is no equivalent evidence that rice was domesticated in South Asia or elsewhere in Asia [16]. While tropical climates are not considered conducive to conserve archaeobotanical remains, there is clear archaeobotanical evidence for the domestication of several crops in South Asia such as black and green gram (*Vigna mungo* and *Vigna radiata*) [17]. The archaeological data for the Ganges River basin reveals that sedentary agricultural villages were established after 2500 B.C. [16]. Earlier dates regarding the nature of human occupation at archaeological sites and the actual status of rice from early periods are unclear [16].

Several authors (e.g. [3, 14]) have argued that migration of people from China into northeastern India brought languages and other elements of culture that might have included crop domesticates. Among crops of Chinese origin that appears early, before 2000 B.C., in South Asian agriculture is common foxtail millet (*Setaria italica*) [15]. It is difficult to deduce if domesticated rice was also introduced from China since wild rice was abundant and at least harvested and possibly cultivated in early Neolithic times in South Asia [27]. Since domesticated foxtail millet was introduced at an early date from China to South Asia, it is likely other crops, including domesticated rice, were also introduced.

**Fig. 2** The traditional areas where indica and japonica varieties were grown in the mid-twentieth century (based on [71]).



Domesticated rice was introduced from China into other parts of Asia, such as the Philippines. Based on the dates for the appearance of domesticated rice in the Yangtze River basin, the time that people migrated from this region to other parts of Asia and lack of conclusive archaeobotanical evidence for early-domesticated rice in South Asia, it seems probable that the first domesticated rice in South Asia came from China.

#### People and rice migration

At the time rice was being domesticated in China, who were the people living in the Yangtze River region? It is thought that three of the major Asian linguistic groups originate in the general area of the Yangtze River, the Sino-Tibetan, Hmong-Mien and Tai [4]. Although precise information is not available about where these different linguistic groups originated, it is clear that, in the region rice was first domesticated, there was human diversity that resulted in distinct human linguistic lineages. This human diversity may have hastened the early diversification of rice. Different ethnic groups have different preferences for rice taste and texture, as the rice diversity in different villages in northeast Vietnam illustrates (Table 1).

People, crops and technology can spread more rapidly east and west than north and south. Crops require time to adapt to different day lengths associated with change in latitude. The Ganges-Brahmaputra Rivers at about 25°N is about as far south as the Yellow River ( $\pm 35^\circ\text{N}$ ) is north of the Yangtze River ( $\pm 30^\circ\text{N}$ ). This is a relatively small span of latitudes and would not have taken many generations for domesticated rice to adapt to latitudes of the Indo-Gangetic

plain given that wild rice genetic resources were (and are) abundant there. In contrast, spread of rice across insular Asia, through the Philippines to Indonesia, in excess of 30° latitude spread where wild rice is not so abundant, would have been a much slower process.

#### Genetics of domestication in rice

##### Genetics of indica–japonica differentiation in wild rice

The predominant and ancient divergence in Asian rice is between indica and japonica varieties. The difference in these two types of domesticated rice was recognised as long ago as the second century A.D. (Han dynasty) in China [71]. At both the phenotypic and molecular level, the distinction between these two types of rice is clear [46]. Significant genetic differentiation has resulted in strong reproductive barriers between the extreme types of indica and japonica. Consequently, there are different levels of sterility between the two varietal groups, particularly  $F_2$  sterility and  $F_2$  weakness [49].

The main axes of genetic variation in wild rice are related to habitat adaptation and geography. Wild rice populations adapted to permanently wet habitats are mainly outcrossing and perennial, known as *Oryza rufipogon*, that is widely distributed from southern China and South Asia through Southeast Asia to the northern part of Australia [75]. Wild rice populations adapted to seasonally dry/wet habitats are predominantly inbreeding and annual. Often, this type of wild rice is called *Oryza nivara*. Variation is continuous between these two types [48] (Fig. 1). In

addition to ecotypic differentiation, wild rice shows geographic variation due to isolation by distance [39, 62].

Studies to determine if indica and japonica traits exist in the wild gene pool have examined phenotypic traits [47], isozymes [47, 61, 62], molecular markers [7, 12, 67, 83, 84, 86] and DNA sequences [88]. Twenty-three morphological and physiological traits, that discriminate perennial and annual habit and indica and japonica characteristics, all showed continuous variation in 65 strains of wild rice [47]. Principle component analysis showed a trend towards indica/japonica-like differentiation, but this was not well developed. Molecular studies have also shown that japonica and indica characteristics are found in different types of wild rice [83, 84]. Population genetic studies of 86 indica, 53 japonica varieties, and 11 *O. rufipogon* populations using microsatellite (SSR) markers showed considerable association of *O. rufipogon* individuals from different populations within an area or individuals in the same population with either indica or japonica varieties [67]. The insertion/deletion (InDel) molecular markers, developed from BLAST of the total genomic sequences between the typical indica (93–11) and japonica (Nipponbare), showed a certain degree of genetic differentiation in the *O. rufipogon* complex including *O. rufipogon*, *O. nivara* and weedy rice at some InDel loci [7, 86]. Thus, wild rice shows cryptic variation related to indica and japonica differentiation that is fully expressed in cultivated rice.

From these studies, one reason for the presence of traits associated with indica/japonica differentiation in wild rice is hybridisation–introgression between cultivated rice (either indica or japonica) and wild rice [7, 67, 86]. Hybridisation–introgression representing the gene flow between cultivated rice and wild rice has been widely observed in nature and proven by designed field experiments [11, 40, 64, 65]. Wild rice occurs only in tropical lowlands, whereas indica and japonica rice cultivars are usually associated with cultivation at different altitudes or latitudes. Further understanding of why there is apparently a pre-domestication tendency towards indica and japonica differentiation in wild rice is needed as this might shed light on the origin and diversification of domesticated rice.

#### Genetics of rice domestication traits

It has been argued that the domestication of cereals was a slow process, and this implies a long period of harvesting wild stands and then cultivation prior to cereals becoming fully domesticated [18, 70, 81]. During the period prior to full domestication, undoubtedly there was selection of genes that suit plants to the cultivated environment. There are many genes or quantitative trait locus (QTL) associated with the major domestication traits. Based on various studies for shattering, there are at least ten loci on eight of

the 12 rice chromosomes, and for dormancy, there are at least 25 loci on ten chromosomes (summarised by [78]). Most of these genes have small overall effect.

#### Shattering

The main QTL (*qSH1*) that distinguishes the degree of shattering between indica and japonica varieties explains 69% of the difference in these two groups of non-shattering rice [31]. Non-shattering alleles at some shattering loci are present in Japanese weedy rice [73]. Weedy rice differs in the degree of shattering, but all weedy rice readily shed their seeds either naturally like wild rice or at a slight touch during the harvesting of rice. Therefore, some degree of non-shattering is not a condition that a plant is a domesticated cereal. Only non-shattering that prevents seeds easily falling prior to harvest can be considered a feature of a domesticated cereal. In rice, there is only one known gene that significantly (>50%) prevents shattering and that gene is *sh4* [36]. Analysis of this non-shattering allele in 96 indica varieties and 112 japonica varieties has shown that this allele is the same in both varietal groups [37].

It has been shown that the two main QTLs associated with shattering are epistatic [54]. One QTL (*qSH1*) is associated with abscission layer formation, and the other, *sh4*, is associated with abscission process. Since *sh4* is the result of the same mutation in indica and japonica rice, this mutation must have preceded mutation and divergence at the *qSH1* locus that distinguishes the ease of shattering between these varietal groups. Another shattering-related QTL, *qSH3*, is also epistatic to *qSH1* and *sh4*, and the interaction among QTLs associated with shattering may explain the complexity found in the genetics of shattering in weedy rice [73].

#### Dormancy

Dormancy is a complex trait consisting of seed and hull dormancy. Progress in understanding the detailed genetics of this trait in rice has lagged that for shattering. Secondary dormancy remains an important characteristic in indica varieties, where seeds retain viability during hot, humid conditions between planting seasons. The most detailed studies of dormancy have been conducted with an accession of wild-like weedy rice from Thailand (accession SS18-2) that exhibits hull-imposed dormancy [21–26]. The most important dormancy-related QTL in this Thai accession was located on chromosome 12, explaining about 50% of the phenotypic variance [22, 24]. This chromosome 12 QTL may be the most important to study in relation to rice domestication because reduced dormancy must have been selected for early in the process of domestication. If this major dormancy QTL on chromosome 12 is widespread in

the rice genepool, when it is cloned [22], it may be as informative as the shattering QTL *sh4* has been regarding rice domestication.

### Hybridisation–introgression and its probable role in rice domestication

How can the pre-domestication indica/japonica tendency in wild rice be compatible with a single origin of domesticated rice that is strongly suggested by a single major non-shattering allele in the two varietal groups? The only reasonable explanation appears to be the important role of hybridisation–introgression in the evolutionary process of rice after it was domesticated.

Hybridisation–introgression is the permanent incorporation of genes from one set of differentiated populations into another [59]. Introgression is more likely to occur in young species [42] or populations that have recently diverged in which reproductive barriers have not developed or are weak. While mutation is the ultimate source of genetic variation [55], it has been argued that this alone is insufficient to provide the variation to permit major evolutionary advances [68]. The importance of ‘mass hybridisation between populations with different adaptive norms’ [68], recombination [20] and introgression [2] have been stressed as sources of genetic variation in the evolution of plant genomes.

The genepool of an emerging domesticate is one where selection results in populations with different adaptive norms in proximity, with weak, if any, barriers to gene exchange. Hybridisation is a prerequisite for introgression. In Asia, most hybrids between rice and wild rice with the A-genome are fertile and have normal chromosome pairing at meiosis [53]. Thus, the A-genome taxa of *Oryza* in Asia form a single biological species [43] with populations adapted to various ecological conditions in close proximity that allow hybridisation–introgression to occur.

The environment of early agriculture would have provided the ecological conditions that are thought to promote introgressive hybridisation—highly disturbed habitats such as those resulting from human activity [59].

The role of different domestication-related mutations in the origin of rice has been investigated in detail (discussed above). However, the role of introgression in the origin and diversification of rice is poorly understood, particularly with respect to the evolutionary fitness of genotypes resulting from introgression. There have been various studies that have provided evidence of introgression in the genepool of rice. Early studies documented introgression based on morphological characteristics and isozymes [35, 48, 50–52, 57, 61]. These studies have established that introgression occurs in the genepool of rice. These studies

have also shown that introgression can result in new ecotypes [35], increased genetic diversity [35, 51] and the transfer of adaptations [35, 50].

Recently, there have been many studies of gene flow and hybridisation–introgression between crops (including rice) and their wild relatives due to concerns related to transgene escape from genetically modified crops. Consequently, a large amount of data relating to gene flow from cultivated rice to its weedy and wild relatives have been generated [11, 64, 65, 63, 80]. These studies showed that the frequency of gene flow from cultivated rice to *O. rufipogon* varied between 1% and 18% in one generation and from cultivated rice to weedy rice between 0.01% and 0.5% in one generation. Further studies have demonstrated that the introgressed crop traits can persist in wild rice populations and results in further impact on wild rice populations ([66]; B.R. Lu et al. unpublished). These studies highlight the frequent gene exchange between cultivated rice and its wild relatives over a long time span and the importance of crop-weedy-wild introgression in the evolutionary process of cultivated rice, as well as wild rice [67]. The potential gene flow has played in explaining variation in rice has been suggested from a recent survey of genome-wide patterns of nucleotide polymorphism [8]. Data from that same study also suggested ‘that equal timing of domestication (for indica and tropical japonica) is likely to have occurred’ [8].

A recurrent problem with the studying populations of wild rice in Asia today is that it is difficult to know the extent to which long-term gene flow from domesticated rice affects the population genetic structure of wild rice. It is known that many populations of Asian wild rice have introgressed genes from cultivated rice [7, 34, 65, 86]. Among wild rice accessions for which bacterial artificial chromosome libraries are available [82], at least one accession appears to have introgressed genes from cultivated rice. This accession [International Rice Research Institute (IRRI) 105491] from Malaysia has been studied in detail, and among its characteristics are upright habit and bold grains that point to introgression from cultivated rice [44]. Another famous wild rice accession, *O. nivara* from India (IRRI 101508), that furnished grassy stunt virus resistance to modern rice cultivars, also exhibits signs of introgression as it has straw colored hull like cultivated but not wild rice.

To understand the origin of domesticated rice, it is necessary to have a clearer idea of the variation that existed in the wild rice genepool in the Yangtze River basin 7,000 years ago. It is also necessary to design experiments to further elucidate the role of hybridisation–introgression during the evolution of domesticated rice into its present-day diversity.

The difficulties of unravelling the role of hybridisation–introgression in crop evolution are probably a major reason

that its significance has not been fully appreciated in the evolution of rice. Currently, vast rice and wild rice genomic resources are becoming available for rice and wild rice [72]. These resources should enable it to be easier to detect and quantify hybridisation–introgression [58] and its role in the evolution of rice to be resolved.

## Conclusions

Based on information currently available, neither geography nor genetics of rice and wild rice exclude the possibility that rice was only domesticated once. The fact that the key domestication allele for non-shattering is the same in varieties of indica and japonica rice tested to date means that a single domestication of rice, at least for the major varietal groups, was not just possible but probable.

It is not difficult to believe that during the period after the emergence of the first domesticated rice there would have been an abundance of cultivated (semi-cultivated) and wild rice occurring in close vicinity. Gene flow among different forms of rice (cultivated, semi-cultivated and wild) would have made the genetic structure of these populations ‘messy’. Subsequent gene flow among domesticated, semi-domesticated and wild rice would have started the process of introgression. A feature of this environment must have been populations with high genetic variation from which early farmers selected different types of rice. Therefore, hybridisation–introgression between cultivated and wild rice has occurred since the onset of rice domestication. This process, together with farmers’ selection, has resulted in the great diversification of cultivated rice gene pool. The indica- or japonica-associated alleles found in wild rice are most likely the result of hybridisation–introgression of indica or japonica cultivars with wild rice.

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